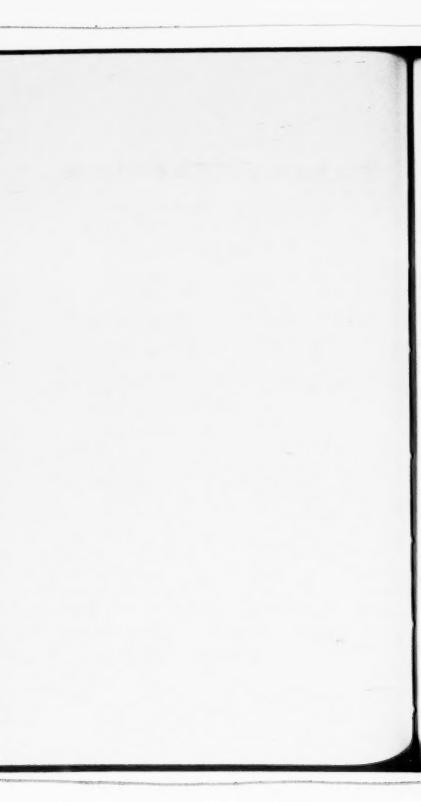
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In the Supreme Court of the United States

OCTOBER TERM, 1946

No. 489

JOSEPH A. GORDON, PETITIONER

V.

Paul A. Porter, Administrator, Office of Price Administration

ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES CIRCUIT COURT OF APPEALS FOR THE NINTH CIRCUIT

BRIEF FOR THE RESPONDENT IN OPPOSITION

OPINIONS BELOW

The district court rendered no opinion. Its decision on the pre-trial hearing appears at page 41 of the record. Its findings of fact and conclusions of law appear at pages 47–52 of the record. The opinion of the circuit court of appeals (R. 209–212) is reported at 155 F. 2d 949. The opinion of that court on the petition for rehearing (R. 214) has not yet been reported.

JURISDICTION

The judgment of the circuit court of appeals was entered on June 25, 1946 (R. 212). The

petition for rehearing was denied on August 6, 1946 (R. 213). The petition for a writ of certiorari was filed on September 11, 1946. Jurisdiction of this Court is invoked under Section 240 (a) of the Judicial Code, as amended by the Act of February 13, 1925 (28 U. S. C. 347 (a)).

QUESTIONS PRESENTED

- 1. Where a license to do business subject to price control has been ordered suspended by a district court and its order affirmed by the circuit court of appeals before termination of the Emergency Price Control Act on June 30, 1946, and the licensee, between that date and July 25, 1946 (the date of the enactment of the Price Control Extension Act of 1946), petitioned the circuit court of appeals for rehearing, urging that the proceeding be dismissed as moot, whether the circuit court of appeals erred in its decision rendered after July 25, 1946, which denied rehearing.
- 2. Whether in a proceeding to suspend a license under Section 205 (f) (2) of the Emergency Price Control Act, it is sufficient for the Price Administrator to prove the receipt of a license warning notice and the commission of violations subsequent thereto, or whether he must also prove the violations antecedent to the warning notice.

STATUTE AND REGULATIONS INVOLVED

The pertinent provisions of the Emergency Price Control Act of 1942, as amended, and of the applicable regulations are set forth in the Appendix, infra, pp. 14-22.

STATEMENT

Section 205 (f) (1) of the Emergency Price Control Act, infra, pp. 15-16, authorizes the Price Administrator to require a license as a condition of doing business subject to the Act. Licensing Order No. 1, infra, pp. 20-22, and Section 13 of Maximum Price Regulation No. 422, infra, p. 20, which evidence an exercise of this authority, license all retail food stores. Section 205 (f) (2). infra, pp. 16-18, provides that where in the judgment of the Administrator any person has violated a provision of a license or a regulation, order, or price schedule, a warning notice shall be sent to him, and if after receipt of a warning notice the seller is again in violation, the appropriate federal or state court so finding shall suspend his license for a period not to exceed twelve months.

Petitioner operates individually a retail food store situated at 107 South Long Beach Boulevard, Compton, California, and sells from that store various commodities for which maximum prices have been established under the authority of the Emergency Price Control Act of 1942, as amended (R. 2, 23). On September 15, 1944, this action was filed by the Price Administrator in the United States District Court for the Southern District of California, to suspend the license which had been granted to petitioner to sell com-

modities subject to price control (R. 2-7). The Price Administrator introduced evidence to show that on March 24, 1944, a license warning notice had been sent to petitioner (R. 108-110), and it was stipulated that this notice had been received (R. 83). Evidence was also introduced that a number of items were on sale on June 5, 1944, at petitioner's store at prices above the lawful ceilings (R. 140-143), and that on the same date a number of items were not posted as to prices, as required by the applicable regulations (R. 143-144). No evidence was introduced as to the violations upon which the warning notice had been The district court found, inter alia, that petitioner had, after the receipt of the license warning notice, violated the provisions of the license and of the price regulations in that he had sold and offered to sell specified commodities at overceiling prices (R. 48-50), and that the sale prices on other specified commodities had not been posted (R. 51). Accordingly, on September 4, 1945, the district court entered judgment (1) suspending, for fourteen days, the license granted petitioner as a condition of his selling commodities subject to price control, (2) permitting the posting by respondent on petitioner's premises of a notice of the suspension, and (3) enjoining petitioner from buying and selling price-controlled commodities during the period of suspension, except as an ultimate consumer (R. 53-54). A stay

of execution of judgment pending appeal was granted on October 2, 1945 (R. 71).

On appeal to the circuit court of appeals, petitioner urged, among other contentions, that the Price Administrator was required to prove the violations upon which the license warning notice was based, as well as those occurring after the notice was received, and that under the applicable rules of law the evidence did not support the finding by the district court that over-ceiling sales had been made after petitioner had received the warning notice (R. 71-72). The circuit court of appeals affirmed the decision of the district court, holding that the Price Administrator as a public officer was presumed to have done his duty in exercising his judgment to the effect that violations had been committed prior to the issuance of the warning notice, and that only violations which had occurred subsequent to the receipt of the warning notice need be proved at the trial. (R. 209-212). Since Section 205 (f) (2) of the Emergency Price Control Act, infra, pp. 16-18, provides that a suspension order shall be affirmed on appeal if the evidence supports a finding that there has been "a violation of any provision" of the license or regulation after receipt of the warning notice, the circuit court of appeals did not rule on the more closely contested questions concerning overcharges, but rested its affirmance upon the violative failure of petitioner to post his ceiling prices.

On June 30, 1946, the Emergency Price Control Act expired, and on July 24, 1946, petitioner moved in the circuit court of appeals for rehearing, on the ground that the proceeding should be dismissed as moot. On July 25, 1946, the Price Control Extension Act of 1946 was enacted, extending the date of expiration of the Emergency Price Control Act to June 30, 1947, and providing that all regulations, orders, and requirements under the Emergency Price Control Act which had been in force on June 30, 1946, were to be in effect as though the Act had been enacted on June 30, 1946, but that no transaction, act, or failure to act occurring between June 30, 1946 and July 25, 1946, was to be deemed a violation. On August 6, 1946, the petition for rehearing was denied by the circuit court of appeals (R. 213).

ARGUMENT

1. Petitioner contends that this proceeding to suspend his license has been rendered moot by virtue of the fact that the Emergency Price Control Act expired on June 30, 1946 and was not revived until July 25, 1946, and that a license under the old Act is no longer necessary to do business under the extension. Section 18 of the Price Control Extension Act of 1946, *infra*, pp. 18–20, provides, however, that "all regulations, orders, price schedules, and requirements" under the

¹ Section 13 of Maximum Price Regulation 422 licenses food dealers, and Licensing Order No. 1 licenses all sellers subject to price control. Thus, the necessity of a license is

Emergency Price Control Act of 1942, as * which were in effect on June amended. 30, 1946, shall be in effect in the same manner and to the same extent as if this Act had been enacted on June 30, 1946, and * proceeding, petition, application, or protest which was pending under the Emergency Price Control Act of 1942, as amended, * * * shall be proceeded with and shall be effective in the same manner and to the same extent as if this Act had been enacted on June 30, 1946 The necessity of a license to do business clearly falls within the foregoing provision. Likewise, a suit to suspend a license is a "proceeding" within the meaning of that term as used in the above-quoted provision.2

Since the extension is to be treated as though it had been enacted on June 30, 1946, its effect is no different from that of the various previous extensions of the Act which were enacted in 1943, 1944, and 1945, with no intervening gap. And it can hardly be contended that with each successive extension a new set of licenses were required and that all proceedings pending at those times for suspension of the old licenses were thereby

clearly a requirement within the meaning of that term as used in Section 18.

² In view of the precise applicability of Section 18, it is unnecessary to rely on the alternative theory, expressed by the court below, that under the saving clause in Section 1 (b) of the Act, the liability to suspension was unaffected by termination of the Act on June 30, 1946.

rendered moot. Under that theory, the initial violation, warning notice, suspension order, the entire appellate review proceeding, and the carrying out of the judgment of suspension, would all have to take place within one year or else the controversy would have been rendered moot by the expiration of the particular annual renewal of the statute under which the proceeding had been commenced. Hence, as a practical matter, it would have been impossible to suspend a license. The Congress could scarcely have intended that one of the enforcement sanctions which it provided should thus be rendered meaningless. The alternative, and only reasonable, construction to be placed on the Act is that the same licenses and license requirements were to carry over from one year to the next. The legislative history of the Price Control Extension Act favors the latter construction.3 Thus, it was clearly the intention

³ The conference report (H. Rep. No. 2629, 79th Cong., 2d Sess.) submitted with the bill which later became the Price Control Extension Act of 1946, reads at page 18, as follows: "Sections 1 and 2 of the Senate amendment amend the Price Control Act and the Stabilization Act so as to continue such acts in effect until June 30, 1947. By section 18 these amendments, as well as the other amendments proposed, are made effective as of June 30, 1946, so as to insure that the provisions of these acts will not be considered to have ceased to be in effect on June 30, 1946, and it is provided in section 18 that all regulations, orders, price schedules, and requirements under the Price Control Act and the Stabilization Act which were in effect on June 30, 1946, shall be in effect in the same manner and to the same extent as if this legislation had been enacted on June 30, 1946."

of the Congress to insure continuity of price control regulations and requirements.

2. Petitioner further contends that the Price Administrator was required to prove not only the receipt of the warning notice and the commission of subsequent violations, but also the violations which occurred prior to the receipt of the warning notice, and which formed the basis of that notice. This contention is refuted by the plain language of Section 205 (f) (2) of the Act, infra, pp. 16-18. That section clearly limits the violations which must be proved in a judicial proceeding brought for the purpose of suspending a license to those occurring after the receipt of the warning notice. The section expressly provides that if the court finds that such violations have occurred after receipt of the warning notice, the order of suspension shall be issued, and that if the evidence supports the finding of a violation after receipt of the warning notice, the judgment shall be affirmed by the appellate court. the Congress determined that a warning notice should precede judicial action to suspend a license granted under the Act.4 Obviously, it could have

⁴ The Emergency Price Control bill, as originally introduced in the House of Representatives in 1941 contained provisions for the suspension of licenses by administrative action alone, the suspension being reviewable in the Emergency Court of Appeals, which was directed to affirm the revocation if "supported by substantial evidence in the record." [H. R. 5479, 77th Cong., 1st sess., Section 204 (c) (2).] The House Committee on Banking and Currency eliminated all licensing

authorized judicial suspension of a seller's license without regard to the giving of a warning notice. Instead, it provided that the Price Administrator, before bringing an action to suspend a license must (1) conclude that the seller has committed at least one violation, (2) issue a warning to the seller based on such violation, and (3) learn that the seller has committed a violation subsequent to the receipt of the warning notice. It is clear, therefore, that the seller's license cannot be suspended until he has received a warning notice and has committed a violation subsequent to the receipt of that notice. In these circumstances. there was no occasion for the Congress to require that there be a judicial inquiry into the violations which occur prior to the receipt of the warning notice, and the plain import of the language of the statute is that the Congress did not intend to This construction finds support in the legislative history of the Act, as extended.5 Fur-

provisions from the bill. Thereafter, the Senate Committee on Banking and Currency restored the licensing provisions, but provided that a license might be suspended only by court action. This amendment was finally incorporated in the Act. Thus, the provision was not a casually enacted one, and there is nothing in the hearings, debates, or committee reports to indicate that judicial inquiry into pre-warning notice violations was intended.

⁵ During the debates in the House of Representatives on the 1944 extension of the Emergency Price Control Act, Mr. Wolcott, one of the ranking members of the Committee on Banking and Currency of the House, who had participated in the handling of the original Act and its several ex-

thermore, the legislative history of the Act shows that the licensing sanction was adopted upon the theory that it would supply greater speed and flexibility than other statutory sanctions. See Senate Report 931, 77th Cong., 2d Sess., pp. 8-9. If the license suspension suit must in every instance involve proof in court of the pre-warning notice violations, which may have occurred several years prior to the trial, the sanction could hardly be called expeditious. Thus, the construction

tensions, made the following statements in answer to questions concerning the license suspension provisions of the Act:

"Mr. RIZLEY. Tell me what the local court would do.

"Mr. Wolcott. The local court has to find that there is a violation of such license, regulation, order, price schedule, or requirement after the receipt of the warning." [90 Cong. Rec. 5481-5482.]

On several occasions the Congress has extended the duration of the Emergency Price Control Act, making an exhaustive review of its provisions and their enforcement, the hearings and debates lasting for several weeks. As a result of this reconsideration, a large number of amendments have been adopted with each extension. Section 108 (d) of the Stabilization Extension Act of 1944 (58 Stat. 632), and Section 13 of the Price Control Extension Act of 1946 (Pub. Law No. 548, 79th Cong., 2d sess.) both amended Section 205 (f) of the Act in respects not here pertinent, showing that the Congress was concerned with this enforcement sanction. However, no changes were made which would enlarge the scope of the judicial inquiry beyond that suggested by Mr. Wolcott.

[&]quot;Mr. Wolcott. Yes. First they give you a warning, and if you do not obey the warning, under the provisions of the price-control bill they must then make application to a court for suspension of your license.

placed on the section by the court below is not only plainly required by its language, but it is also suggested by its legislative history.

Language such as the Congress used here to express this charging of an administrative officer with the duty of exercising judgment and making a conclusive determination based upon it, with no express provision for judicial review, has many times been held (where, as in this case, it appears in an appropriate context) to mean that no judicial review was intended. And this was true even where the finding directly affected the rights of litigants. See Martin v. Mott, 12 Wheat. 19; United States v. Bush & Co., 310 U. S. 371; Z. & F. Assets Realization Corp. v. Hull, 311 U. S. 470; and Switchmen's Union of North America v. National Mediation Board, 320 U. S. 297. Here, the petitioner had the benefit of a much more liberal rule. He was not only given the benefit of a warning before his license rights were affected, but his license rights were not disturbed until there was adduced at the trial separate proof that he had, after receiving that warning, again violated the price control regulations to which he was subject.

CONCLUSION

The decision of the court below is correct. There are no conflicts of decision, and the case does not call for review. It is respectfully submitted that the petition for a writ of certiorari should be denied.

J. Howard McGrath,
Solicitor General.
John R. Benney,

Attorney.

George Moncharsh, Deputy Administrator for Enforcement,

DAVID LONDON,

Direction, Litigation Division,

Samuel Mermin, Solicitor, Litigation Division,

Albert J. Rosenthal,
Attorney,
Office of Price Administration.

OCTOBER 1946.

APPENDIX

1. Pertinent provisions of the Emergency Price Control Act of 1942 (56 Stat. 23), as amended by the Stabilization Act of 1942 (56 Stat. 767), the Stabilization Extension Act of 1944 (58 Stat. 632), and the Price Control Extension Act of 1946 (Public Law No. 548, 79th Cong., 2d Sess.), 50 U. S. C. App., Supp. V, Sec. 901 ét seq.

Section 1 (b). The provisions of this Act, and all regulations, orders, price schedules, and requirements thereunder, shall terminate on June 30, 1947, or upon the date of a proclamation by the President, or upon the date specified in a concurrent resolution by the two Houses of the Congress, declaring that the further continuance of the authority granted by this Act is not necessary in the interest of the national defense and security, whichever date is the earlier; except that as to offenses committed, or rights or liabilities incurred, prior to such termination date, the provisions of this Act and such regulations, orders, price schedules, and requirements shall be treated as still remaining in force for the purpose of

¹ Originally "June 30, 1943." On October 2, 1942, amended to read "June 30, 1944" (sec. 7 (a) of Stabilization Act of 1942, 56 Stat. 767). On June 30, 1944, amended to read "June 30, 1945" (sec. 101 of Stabilization Extension Act of 1944, 58 Stat. 632). On June 30, 1945, amended to read "June 30, 1946" (59 Stat. 306). On July 25, 1946, amended to read "June 30, 1947" (sec. 1 of the Price Control Extension Act of 1946, Public Law 548, 79th Cong., 2d sess.).

sustaining any proper suit, action, or prosecution with respect to any such right, liability, or offense.

Section 205 (f) (1). Whenever in the judgment of the Administrator such action is necessary or proper in order to effectuate the purposes of this Act and to assure compliance with and provide for the effective enforcement of any regulation or order issued or which may be issued under section 2, or of any price schedule effective in accordance with the provisions of section 206, he may by regulation or order issue to or require of any person or persons subject to any regulation or order issued under section 2, or subject to any such price schedule, a license as a condition of selling any commodity or commodities with respect to which such regulation, order, or price schedule is applicable. It shall not be necessary for the Administrator to issue a separate license for each commodity or for each regulation, order, or price schedule with respect to which a license is required. No such license shall contain any provision which could not be prescribed by regulation, order, or requirement under section 2 or section 202: Provided. That no such license may be required as a condition of selling or distributing (except as waste or scrap) newspapers, periodicals, books, or other printed or written material, or motion pictures, or as a condition of selling radio time: Provided further, That no license may be required of any farmer as a condition of selling any agricultural commodity produced by him, and no license may be required of any fisherman as a condition of selling any fishery commodity caught or taken by him: Provided further, That in any case in which such a license is required of any person, the Administrator shall not have power to deny to such person a license to sell any commodity or commodities, unless such person already has such a license to sell such commodity or commodities, or unless there is in effect under paragraph (2) of this subsection with respect to such person an order of suspension of a previous license to the extent that such previous license authorized such person

to sell such commodity or commodities.

(2) Whenever in the judgment of the Administrator a person has violated any of the provisions of a license issued under this subsection, or has violated any of the provisions of any regulation, order, or requirement under section 2 or section 202 (b), or any of the provisions of any price schedule effective in accordance with the provisions of section 206, which is applicable to such person, a warning notice shall be sent by registered mail to such person. If the Administrator has reason to believe that such person has again violated any of the provisions of such license, regulation, order, price schedule, or requirement after receipt of such warning notice, the Administrator may petition any State or Territorial court of competent jurisdiction, or a district court subject to the limitations hereinafter provided, for an order suspending the license of such person for any period of not more than twelve months. If any such court finds that such person has violated any of the provisions of such license, regulation, order, price schedule, or requirement after the receipt of the warning notice, such court shall issue an order suspending the license to the extent that it authorizes such person to sell the commodity or commodities in connection with which the violation occurred, or to the extent that it authorizes such person to sell any commodity or commodities with respect to which a regulation or order issued under section 2, or a price schedule effective in accordance with the provisions of section 206, is applicable; but no 1 suspension shall be for a period of more than twelve months, and if the defendant proves that the violation in question was neither willful nor the result of failure to take practicable precautions against the occurrence of the violation, then in that event no suspension shall be ordered or directed.2 For the purposes of this subsection, any such proceedings for the suspension of a license may be brought in a district court if the licensee is doing business in more than one State, or if his gross sales exceed \$100,000 per annum. Within thirty days after the entry of the judgment or order of any court either suspending a license, or dismissing or denying in whole or in part the Administrator's petition for suspension, an appeal may be taken from such judgment or order in like manner as an appeal may be taken in other cases from a judgment or order of a State, Territorial, or district court, as the case may be. Upon good cause shown, any such order of suspension may be stayed by the appropriate court or any judge thereof in accordance with the applicable practice; and upon written stipulation of the parties

¹ As amended by sec. 13 of the Price Control Extension Act of 1946, deleting "such" at this point.

² Added by sec. 13 of the Price Control Extension Act of 1946.

to the proceeding for suspension, approved by the trial court, any such order of suspension may be modified, and the license which has been suspended may be restored, upon such terms and conditions as such court shall find reasonable. Any such order of suspension shall be affirmed by the appropriate appellate court if, under the applicable rules of law, the evidence in the record supports a finding that there has been a violation of any provision of such license, regulation, order, price schedule, or requirement after receipt of such warning notice. No proceedings for suspension of a license, and no such suspension, shall confer any immunity from any other provision of this Act: Provided, That no regulation, order, license, or requirement heretofore or hereafter issued or prescribed pursuant to section 2 (a) (2) of the Act of June 28, 1940, as amended by the Act of May 31, 1941, and by title III of the Second War Powers Act, 1942, may validly contain any requirement as to the observance of any regulation, order, license, or requirement issued or prescribed pursuant to this Act or the Stabilization Act of October 2. 1942.3

2. Sections 1 and 18 of Price Control Extension Act of 1946 (Pub. Law 548, 79th Cong., 2d Sess.).

SEC. 1. Resolved by the Senate and House of Representatives of the United States of America in Congress assembled, That section 1 (b) of the Emergency Price Control Act of 1942, as amended, is amended by striking out "June 30, 1946" and substituting "June 30, 1947."

³ Added by sec. 108 (d) of the Stabilization Extension Act of 1944.

Sec. 18. (1) The provisions of this Act shall take effect as of June 30, 1946, and (2) all regulations, orders, price schedules, and requirements under the Emergency Price Control Act of 1942, as amended (except regulations or requirements under section 2 (e) thereof relating to meat, flour, or coffee), and the Stabilization Act of 1942, as amended, which were in effect on June 30, 1946, shall be in effect in the same manner and to the same extent as if this Act had been enacted on June 30, 1946, and (3) any proceeding, petition, application, or protest which was pending under the Emergency Price Control Act of 1942, as amended, or the Stabilization Act of 1942, as amended, on June 30, 1946, shall be proceeded with and shall be effective in the same manner and to the same extent as if this Act had been enacted on June 30, 1946: Provided, That in any case in which the Emergency Price Control Act of 1942, as amended (except sections 204 and 205), or the Stabilization Act of 1942, as amended (except sections 8 and 9), or any regulation, order, or requirement under either of such Acts. prescribes any period of time within which any act is required or permitted to be done, and such period had commenced but had not expired on June 30, 1946, such period is hereby extended for a number of days equal to the number of days from July 1, 1946, to the date of enactment of this Act, both inclusive: Provided further, That no act or transaction, or omission or failure to act, occurring subsequent to June 30, 1946, and prior to the date of enactment of this Act shall be deemed to be a violation of the Emergency Price Control Act of 1942, as amended, or the Stabilization Act of 1942, as amended, or of any regulation, order, price schedule, or requirement under either of such Acts: *Provided further*, That insofar as the provisions of this Act require the Administrator to make any change in any maximum price, such provisions shall not be deemed to require such change to be made before the thirtieth day following the date of enactment of this Act.

3. Pertinent Provisions of Maximum Price Regulation 422 (8 F. R. 9395).

SEC. 13. Licensing and registration. The licensing and registration provisions of sections 15 and 16 of the General Maximum Price Regulation* shall apply to every person subject to this regulation. Sections 15 and 16 provide, in brief, that a license is required of all persons selling at retail commodities for which ceiling prices are established. A license is automatically granted so do not apply for it. However, all setters may later be required to register. The license may be suspended for violations in connection with the sale of any commodity for which ceiling prices are established. No person whose license is suspended may sell any such commodity during the period of suspension.

4. Licensing Order No. 1 (8 F. R. 13240).

Sec. 1305.72. License required. Any person who makes a sale under price control is hereby required to have a license to make such sales.

Sec. 1305.73 License granted. A license to make sales under price control is automatically

^{* 8} F. R. 3096, 3849, 4347, 4486, 4724, 4848, 4978, 6047, 6962. [Footnote appears in the regulation.]

granted to all persons who now or hereafter make such sales. But the grant of this license to any person whose license heretofore granted by the Office of Price Administration is under suspension shall become effective for sales to which the suspension applies only at the end of the period of suspension.

SEC. 1305.74 License suspension. A license granted hereby may be suspended in accordance with the provisions of the Emergency Price Control Act of 1942 for violations of the license or of one or more applicable maximum price regulations. The provisions of all such regulations are made a part of each license granted hereby, and a violation of any such provision is a violation of the license. A person whose license has been suspended may not, during the period of suspension, make any sale for which his license has been suspended.

Sec. 1305.75. Previous licenses. Every license heretofore granted by the Office of Price Administration and in effect when this order becomes effective, is merged and continued in the license granted by this order. The former license no longer continues as a separate license. If the former license is suspended by a pending license suspension proceeding, the license granted by this order is suspended to the same extent. Proceedings to suspend a license granted hereby may be begun and maintained without a further warning notice to any person to whom a warning notice under a previous license was sent.

SEC. 1305.76 Exemptions. No license is required of, or granted to, a farmer as a condition of selling an agricultural commodity produced by

him, a fisherman as a condition of selling a fishery commodity caught or taken by him, the United States, or any agency thereof, or any other Government, its political subdivisions or agencies.

Sec. 1305.76a Definitions. When used in this

Licensing Order No. 1 the term:

(a) "Sale under price control" means any sale for which a maximum price is established by, or must be determined pursuant to, a maximum price regulation.

(b) "Maximum price regulation" means any maximum price regulation, revised maximum price regulation, price schedule, revised price schedule, or order establishing or providing for the determination of maximum prices, issued by the Office of Price Administration.

(c) "Person" includes an individual, corporation, partnership, association, or any other organized group of persons, or legal successor or

representative of any of the foregoing.

(d) "Person who makes a sale" and "seller" include both a person who sells for his own account and a person who sells for the account of another, whether as broker, agent, auctioneer, or otherwise.

Effective date. This Licensing Order No. 1 shall become effective 12:01 A. M. October 1, 1943.

PRENTISS M. BROWN,
Administrator.